Sustainable synthesis and characterization of a bisphenol A-free polycarbonate from a six-membered dicyclic carbonate†

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A bisphenol A (2,2-bis(4-hydroxyphenyl)propane, BPA)-free polycarbonate (PC) from a six-membered dicyclic carbonate, di-trimethylolpropane di-cyclic carbonate (DTMPC), was developed as a new type of PC by ring opening homo-polymerization. The polymerization was controlled by using metal-free organic-based catalyst systems. The results indicated that the conversion rate depends on the basicity of the catalyst in the order of 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD), 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU), 4-dimethylaminopyridine (DMAP), and triethylamine (TEA) from high to low. Over 99% conversion of DTMPC was obtained at 130 °C within 15 min by TBD, DBU and DMAP. The resulting PC as a homopolymer showed high optical transparency and hardness, low swelling property in organic solvents, and thermal stability at temperatures as high as 200 °C. A high cell viability and cyto-compatibility of C3H10T1/2 cells seeded directly on the surface of PC films were obtained. This implied that PC is a viable material for biomedical and consumer products applications where safety is an important consideration.

Introduction

Polycarbonates (PCs) have been used for numerous applications ranging from automotive parts to electronic appliances due to their excellent mechanical properties and durability. Recently developed biocompatible PCs have been incorporated in a variety of biomedical devices such as dental sealants and tooth coatings.1–4 Conventionally, PCs are obtained from the polymerization of 2,2-bis(4-hydroxyphenyl)propane (bisphenol A, BPA) with highly toxic phosgene or diphenylcarbonate, which is also commonly derived from dimethylcarbonate or phosgene.5 The final product requires an additional purification step to eliminate the presence of chlorinated impurities (Scheme 1A).5

In addition to the intrinsic toxicity of phosgene, the building block BPA used in the production of PCs is an estrogen analog, which is suspected to be harmful during fetal and child development.2–4,6 Due to the broad application of PCs in the food and drink packaging industry, the release of BPA by hydrolysis during their use has been investigated for exposure and risk assessments in many studies.2–4,7 It has been concluded that BPA will leak from PCs during their lifespan. Thus,

Scheme 1 Polymerization pathways for the production of polycarbonates. (A) Commercial process from bisphenol A by reaction with phosgene. (B) Conventional process through mono-cyclic carbonate obtained by the reaction of diol and highly hazardous phosgene. (C) Green and sustainable process through a di-cyclic carbonate obtained by the reaction of polyol and dimethylcarbonate.
there has been increasing attention and societal pressure to find BPA-free PCs for applications in the medical and food packaging industries, where toxicity to humans, especially children, is a great concern. Over the past decade, increasing attention has been paid to synthesize a biocompatible PC with a prominent role in the manufacturing of biomedical devices and biomaterials for tissue engineering and regenerative medicine.8–12

On the other hand, renewable and non-estrogenic alternatives to BPA and sustainable BPA-free aliphatic PCs have been investigated extensively for the past decade as an alternative to conventional aromatic PCs.13,14 Additionally, their unique biocompatibility and biodegradability prompt them to be a great candidate for food and medical applications.1 Recent advances in the ROP of cyclic esters have demonstrated with improvement of thermal and mechanical properties. The resulting bio-based poly(limonene carbonate) could be valorized with a double bond in the repeating unit.15–18 Also, the ring opening polymerization (ROP) of cyclic carbonate monomers is one of the most promising synthesis pathways,9,19,20 while the ROP of cyclic carbonates with amines produced isocyanate-free polyurethanes with and without a catalyst.21,22 Efforts have been put to study the effects of different initiators and catalysts on the reaction rates of ROP synthesis.19 Compared to conventional five-membered cyclic carbonates, six-membered alternatives are thermodynamically more suitable precursors, especially when different functional groups are introduced to impart advanced properties to the polymers; however, their production has not been straightforward.19,23,24 Recently, we have developed a facile and green synthetic route of various six-membered functional cyclic carbonates.25–27 This provides an alternative synthesis pathway of PCs to conventional methods involving toxic materials such as BPA and phosgene. However, with such an advantage, aliphatic PCs synthesized by ROP have significant shortcomings compared to aromatic BPA-based PCs. For example, aliphatic PCs have very poor thermal and chemical stabilities (Scheme 1B).1,28 Some variants can undergo hydrolysis in a phosphate buffer solution with pH 7.4 at 37 °C, which resulted in complete degradation after 5 days.20 Meanwhile, much effort has been put in the development of metal-free catalytic systems to avoid issues revolving around the residual metal trace in the final polymer. The state-of-the-art organometallic polymerization catalysis provides various proficient systems based on nontoxic metal centers, such as zinc, magnesium, calcium, or rare-earth metals, bearing suitable ancillary ligands.26,27 Recent advances in the ROP of cyclic esters have led to the emergence of a variety of mono- and bi-component organo-catalysts, as well as enzymes. Regarding the controlled ROP of trimethylene carbonate (TMC) (Scheme 1B), some organo-catalysts have been shown to lead to well defined poly(trimethylene carbonate)s (PTMCs) of high molar mass (up to MW 72 000).29 Helou et al. have demonstrated that the commercially available organo-catalysts 4-N,N-dimethylaminopyridine (DMAP) and 1,5,7-triazabicyclo[4.4.0] dec-5-ene (TBD) allow the controlled ROP of several six-membered mono-carbonates such as TMC, 3,3-dimethoxytrimethylene carbonate, and 3-benzoyloxy-trimethylene carbonate under mild operating conditions (solvent free, 60–150 °C) by using an alcohol such as butanol, 1,3-propanediol and glycerol, as a co-initiator and chain-transfer agent.29 However, the resulting aliphatic polycarbonate PTMC has a low glass transition temperature of −17 °C, making it unable to mold or cast to a desired device to be used at room temperature (Scheme 1B).2,18

In this paper, a BPA-free aliphatic polycarbonate as a homopolymer from a six-membered di-cyclic carbonate, di-trimethylolpropane di-cyclic carbonate (DTMPC), was synthesized with enhanced thermal stability and mechanical properties (Scheme 1C). The resulting new class of PC materials was evaluated for their thermal, physical and biocompatible properties. The direct homo-polymerization process was controlled by using metal-free organic-based catalyst systems and was employed to form films, casting desired structures which can be used as advanced biomaterials for biomedical applications.

### Experimental

#### Materials

Di-trimethylolpropane (DTMP) and dimethylcarbonate (DMC) were kindly provided by Perstorp AB (Sweden). 1,3-Propanediol (1,3-PDO, 98%), 1,2-propanediol (1,2-PDO, 99%), 1,6-hexanediol (1,6-HDO, 99%) trimethylamine (TEA, >99.5%), 4-dimethylaminopyridine (DMAP, 98%), 1,8-diazabicyclo[5.4.0] undec-7-ene (DBU, 99%), 1,5,7-triazabicyclo [4.4.0] dec-5-ene (TBD, 98%), and molecular sieves (4 Å) were purchased from Sigma-Aldrich. HPLC grade acetonitrile and toluene were purchased from MERCK (Germany). All chemicals were used without further treatment. Di-trimethylolpropane di-cyclic carbonate was prepared according to modified methods from a previous report.25 DTMP (60 g) was reacted in DMC (0.9 L) with molecular sieves in a pressure reactor (2 L), which was heated in an oil bath at 120 °C. The reaction was monitored by gas chromatography. The crude DTMP was obtained from the reaction mixture by simple filtration of solid particles and evaporation of excess DMC. The resulting solid residue was then recrystallized in 500 mL toluene at 4 °C for 2 days. Purified DTMP (45 g, 98% purity) was then obtained by filtration and drying in a vacuum oven at 25 °C.

#### Preparation of polycarbonates (PCs) from DTMPC

The ROPs of DTMP to PCs were evaluated for the conversion rate and yield using various catalysts and chain transfer agents at 110 °C, 130 °C, and 150 °C, respectively. As organic amine catalysts, TEA, DMAP and DBU, and TBD were investigated at various ratios to a monomer, DTMPC. As alcohol chain transfer agents, 1,3-PDO, 1,2-PDO and 1,6-HDO were evaluated for the conversion rate and yield at various ratios to a monomer, DTMPC. As a representative instance, 100 mg (0.33 mmol) of DTMPC were placed and melted in a 4 mL vial at 110 °C and a 2.75 µL mixture of DBU (0.25 µL, 1.7 µmol) and 1,3-PDO...
(2.5 µL, 35 µmol) was added and immediately mixed. The mixture was continuously heated at a certain temperature (110 °C, 130 °C, or 150 °C) using heating blocks, and solidified to a PC material. The resulting solid PC materials were collected, and used without further treatment for the structure, thermal and physical property analyses.

**Quantitative analysis of the conversion rate and yield**

Quantitative analysis of the reaction component (DTMPC) was performed using gas chromatography (GC, Varian 430-GC, Varian, USA) equipped with a FactorFour Capillary column, VF-1 ms (Varian, 15 M × 0.25 mm) and a flame ionization detector. The initial column temperature was increased from 50 °C to 250 °C at a rate of 20 °C min⁻¹. The samples, diluted with acetonitrile, to a concentration of 0.01–0.5 mg mL⁻¹, were injected in a split injection mode of 10% at 275 °C. About 10 mg of samples was taken from the reactant during the time course, and was dissolved at 10 mg mL⁻¹ concentration in acetonitrile at room temperature for 20 min. After the solution was centrifuged at 12,000 rpm for 1 min, the resulting supernatant was injected to measure the remaining monomer to GC (Fig. S2†). The residual amount and conversion rate of DTMPC in the reaction were calculated by using standard curves of DTMPC concentration. All the data were obtained from two independent experiments and were provided as the average of the replicates with error bars.

**FT-IR, solid state NMR and UV-Vis characterization**

The ROPs of DTMPC were monitored based on the transformation of functional groups such as hydroxyl, carbonyl, and carbonate by Fourier-transform infrared spectroscopy (FT-IR). The spectra of samples were obtained in the region of 500–4000 cm⁻¹ using a Nicolet-iS5 (Thermo Scientific, USA). An air background spectrum was collected before the analysis of the sample, and subtracted from each sample spectrum. Solid state ¹H and ¹³C NMR data were recorded on an Agilent 400 MHz 54 mm NMR DD2 spectrometer using the Magic Angle spinning (MAS) and Cross Polarization Magic Angle Spinning (CP-MAS) technique, respectively. Optical absorbance was measured from 300 nm to 1000 nm using a UV-Vis spectrophotometer MULTISKAN GO (Thermo Scientific, USA). To prepare a film sample, DTMPCC was placed in a PDMS compartment covered with a glass coverslip, which was chemically modified with 3-(trimethoxysilyl)-propyl methacrylate, and mixed with 2.75 µL mixture of DBU (0.25 µL, 1.7 µmol) and 1,3-PDO (2.5 µL, 35 µmol). After covering the PDMS compartment with another glass coverslip, the resulting mixture was continuously heated at 130 °C using heating blocks. The height between the two plates was held constant at 100 µm. The resulting PC samples were analyzed using a UV-Vis spectrophotometer. Blank was used as a control for the cell viability assay. For cell culture, C3H/10T1/2 cells (ATCC, Manassas, Virginia) were maintained in Dulbecco’s Modified Eagle’s Medium (DMEM, Gibco Life Technologies) supplemented with 10% v/v FBS (fetal bovine serum, Gibco Life Technologies), and 1% penicillin/streptomycin solution (Penstrept) (Gibco Life Technologies).

To study the cell viability, 10T1/2 cells were obtained at passage 1 and used at passage 7. The PCs were cut into rec-
tangular shapes with a surface area of 4 mm², put into 24-well culture plates, and immersed in PBS with 1% Penstrep 2 days prior to seeding. The cells were seeded onto the PCs with a density of 500 000 cells per mL in 500 µL growth media per well. After 1, 3 and 7 days of culturing, the samples were washed thoroughly with PBS, and a solution of calcein AM and ethidium homodimer-1 (EthD-1) (Biotium) in PBS was added to the sample as per the manufacturer’s protocol. The samples were incubated for 30 min at room temperature on an orbital shaker, and cell viability was assessed by fluorescence microscopy. Cells seeded on the PCs were scanned, and the cells were counted using ImageJ.

Results and discussion

ROP of di-cyclic carbonates by metal-free catalysis

In previous reports, DTMPC was used to avoid the deformation as a cross-linking agent (1 mol%) in the ring opening co-polymerization of two cyclic monomers, trimethylene carbonate and caprolactone with stannous octanoate as the catalyst. And a DBU-catalyzed ROP of neopentylglycol carbonate with DTMPC formed effectively networked polycarbonate films with good transparency and flexibility in THF at 60 °C for 12 hours. Recently, a reprocessable acid-degradable vitrimer, hydroxylfunctionalized PC network was prepared from DTMPC and 1,4-butanediol at a ratio of 1 : 0.5 to 1 : 1 equivalent at elevated temperatures by transcarbonation in the presence of catalytic Ti(IV) alkoxides.

In the present study, the ROP of the di-cyclic carbonate DTMPC as a single monomer was investigated to produce a highly crosslinked PC under solvent-free conditions (Scheme 1C). Also, as a green process to address the environmental concerns and safety issues from using potentially toxic and carcinogenic metal-based catalysts in the conventional synthesis pathway of aliphatic PCs, the ROP was monitored and performed using some amine-based catalysts, TEA, DMAP, DBU, and TBD with an alcohol such as 1,3-propanediol (1,3-PDO), 1,2-propanediol (1,2-PDO) and 1,6-hexanediol (1,6-HDO). The effects of catalysts and chain transfer agents at different concentrations have been investigated. These organocatalysts, regardless of whether in solution or in bulk, operate via an activated-monomer mechanism in the presence of an alcohol (e.g., benzyl alcohol, 1,3-propanediol, glycerol; up to 20 equivalents). The alcohol acts as both a co-initiator and a chain transfer agent. The ROP was performed without using a solvent as bulk polymerization by mixing the catalyst and chain transfer agent under a heating condition (Fig. 1 and 2, and Table 1). The reaction rate and molar catalyst efficiency depend on the basicity of the catalyst at 130 °C in the order of TBD (0.03 mol%) > DBU (0.5 mol%) > DMAP (2.5 mol%) > TEA (2.2 mol%) (Fig. 1A). Compared to TBD, TEA has a much lower conversion rate even at a 25 times higher concentration. The rapid reaction rate, however, does not guarantee a higher conversion rate, as shown in the comparison between TBD and DBU catalysts. The initial reaction rate was also dependent on the reaction temperature (Fig. 1B and C); over 99% conversion was obtained at 130 °C within 15 min by TBD, DBU and DMAP under given catalytic conditions (Fig. 1B and Table 1).
The highest conversion rate was achieved with a combination of 1.7 µmol DBU and 35 µmol 1,3-PDO. The effect of temperature on the reaction rate was also studied using this catalyst combination. The results have shown an increase in the initial reaction rate at 150 °C compared to the lower temperature cases (Fig. 1C). Additionally, the relationship between the conversion rate and initial catalyst concentration was investigated. As shown in Fig. 1D, the reaction rate increases with the initial DBU concentration. The reaction rate was also increased with an increasing amount of chain transfer agent, and affected by the type of transfer, which showed an order of 1,2-PDO > 1,6-HDO ≥ 1,3-PDO (Fig. 2 and Table 1). The basic trends and efficiency of organocatalysts were evaluated for the ROP of di-cyclic carbonate, and were in a similar line to those of mono-cyclic carbonates. ROP of a di-cyclic monomer was evaluated in the transformation of functional groups by FT-IR and solid state ¹H-NMR. As a representative polymerization, the ROP of DTMPC (0.33 mmol) was performed with 1.7 µmol DBU as a catalyst and 35 µmol 1,3-PDO as a chain transfer agent at 130 °C.

FT-IR spectra showed the peak shifts of the functional groups in the reaction. A peak shifted by 10 cm⁻¹ from the cyclic carbonyl group of DTMPC at 1731 cm⁻¹ (Fig. 3a) to linear carbonate linkages in the PC at 1741 cm⁻¹ (Fig. 3d). Additionally, a peak of the C–O–C asymmetric stretching band typically appears at 1290–1180 cm⁻¹. The functionality changes of the C–O–C group from cyclic carbonate to linear polycarbonate provided a strong new peak at 1233 cm⁻¹.

### Table 1 Summary of polymerization of DTMPC performed under various catalytic conditions. Molar ratio (%) to monomer (DTMPC, typically 100 mg, 0.33 mmol). Conversion determined by GC

<table>
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<tr>
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<th>Time (min)</th>
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(Fig. 3d). The hydroxyl group at 3400 cm$^{-1}$ did not appear and indicated that the polymerization and crosslinking were quickly achieved after the opening of the cyclic carbonate ring.

The resulting PC materials, which could not be dissolved in general organic solvents, were elucidated by solid state NMR spectroscopy (Fig. S3†). The observed 1H NMR peaks were assigned to the methyl, methylene, and ether groups, confirming the expected polymer bond. The 13C NMR spectrum of DTMPC presents six characteristic carbon peaks. The peaks near 157 ppm were assigned to the carbonyl carbons in the carbonate bonds of the polymer. The broad peak at 70–72 ppm indicates the carbons adjacent to the carbonate group, while the peak at 45 ppm corresponds to the carbons adjacent to the ether group. A small peak at 38 ppm was assigned to quaternary carbons. The peaks of carbon in methylene and methyl groups appear at 25 ppm and 10 ppm, respectively. The NMR results demonstrated the formation of the PC product.

Optical, thermal and mechanical properties of the BPA-free PCs

The BPA-free PC films prepared from the ROP with organocatalytic systems indicated high transparency and good mechanical properties. The optical transparency of the PC variants was measured by UV-Vis spectrometry (Fig. 4).

The transmittance of PC variants was above 85%, and the excellent optical transparency of PC submerged in ethanol solution was obtained as above 95% within the wavelength range of 300–800 nm, which is comparable to those of commercial polycarbonate sheets and blank.

The thermal behavior of the PCs was evaluated by repeated differential scanning calorimetry (DSC) cycles, and showed a similar energy flow in response to temperature change. The smooth energy flow during both heating and cooling processes indicated the lack of internal crystallinity in all PC variants (Fig. 5A).

Furthermore, the data from DSC also indicate that these new PCs are thermally stable at temperatures as high as 200 °C during 8-time cycles (Fig. 5B). Such unique thermal stability enables these PCs for applications involving an elevated temperature environment, such as autoclaving, which is commonly used to sterilize biomedical devices and surgical instruments for in vivo implantation or in vitro cell culture.

The TGA curves of aliphatic polycarbonates from the DBU catalyst system are shown in Fig. 5C. PCs show no weight loss up to 220 °C and decomposition occurs in a clean single stage with the maximum rate of degradation around 275 °C at a heating rate of 20 °C min$^{-1}$. The decomposition temperature is observed to be around 275 °C (50% weight loss) with no observable residue after 375 °C. Compared to common PCs, which have a decomposition temperature of about 500 °C, the aliphatic PCs are less stable at such a high temperature. But, this will not be an issue for common biomedical and consumer product applications using our PCs at body or room temperature. Future characterization such as thermal expansion and thermal transition coefficient will be needed for comprehensive analysis of the thermal properties of these new PCs.

The stress vs. strain curve of the tensile test on PC-T is shown in Fig. 6. The tensile modulus of the sample was calculated as 58 MPa from the tangent of the linear region of the curve. The elastic mechanical property indicated that crosslinking is the mechanism for networked molecular structure formation.

The shore D hardness of the PCs prepared by different catalytic systems was measured at room temperature by using a digital hardness durometer, following the ASTM D 2240 standard procedure (Fig. 7A). The mechanical measurement of PCs reveals a similar range of hardness from 83 to 87 of shore D hardness, while a PC sample from Nalgen showed 85 as an extra hard level of hardness.
Furthermore, the swelling properties of PCs in various solvents were evaluated for their potential applications as containers for chemicals. As shown in Fig. 7B, after submerging in the solvents for 20 min, the rate of swelling was very low in the range of ±1% for all PC films prepared, and there was no apparent change and corrosion on the surface. The highest swelling rates with 0.7% were obtained in boiling water and DMSO. These swelling data reinforce the concept that a space-filling phenomenon with crosslinking is creating denser and more restrictive networks, and showed high solvent compatibility and resistance property, which did not allow the...
measurement of the molecular weight of the networked PC in solvent by gel permeation chromatography (GPC)-HPLC.

Green perspective and cell viability of PC materials

PCs have been widely used in biomedical research due to their biocompatibility as well as their mechanical strength.\textsuperscript{35,36} Currently, naturally derived materials such as polysaccharides (alginate, chitosan, starch, cellulose) and proteins (collagen, silk fibroin) have been frequently used in biomedical devices and applications due to their intrinsic compatibility with cells, insignificant toxicity, and low manufacture and disposal costs.\textsuperscript{37–41} However, the physical and mechanical properties of natural polymers do not always match the properties of tissues and devices. Additionally, the processing conditions and polymerization mechanism often do not allow us to fabricate the desired scaffolds and devices. On the other hand, the advancement of polymer technology continues to create polymeric biomaterials that could fulfill the needs in medical research and clinical operations.\textsuperscript{31}

Green chemistry is defined as the “design of chemical products and processes to reduce or eliminate the use and generation of hazardous substances”.\textsuperscript{42,43} As a green chemistry process replacing hazardous ingredients, the overall reaction does not include any cytotoxic materials and the product is potentially toxin-free. In the reported reaction, DTMPC was prepared from the reaction of DTMP and DMC, which can be a potential biobased polyol producible from bio-butanol and methanol, and a well-known green solvent prepared from CO\textsubscript{2} or CO with methanol, respectively.\textsuperscript{44,45} The overall process was performed without solvents and additives. The resulting aliphatic PCs can replace conventional PCs as a BPA-free PC, and showed the desired physical and thermal properties for manufacturing (e.g. casting and molding) to produce designed devices and consumables. To further explore and verify the potential of using BPA-free PC for biomedical research, we investigated the cyto-compatibility of C3H 10T1/2 cells seeded directly on the surface of the PC films without additional surface coating (Fig. 8).

This type of fibroblast cell is widely used as a model cell in many biology studies, especially toxicity screening and tissue engineering.\textsuperscript{46,47} The results are shown in Fig. 8, where the cells were seeded directly on the culturing plate. Definitely, these PCs are more biocompatible than conventional non-treated PCs which are hardly suitable for cell seeding. Both the morphology and the high viability of the cells suggested that PCs are non-toxic for the cells seeded and can be considered as a viable material choice for biomedical applications. Future studies will be carried out to systematically evaluate the biocompatibility and toxicity of the new material for particular biomedical applications.

Conclusions

As a BPA-free material, a new type of aliphatic PC from a six-membered di-cyclic carbonate was prepared by thermal ROP. The mechanical and thermal properties of the new aliphatic PC materials were characterized. The high optical transparency and cell viability make these new PCs an excellent candidate for a variety of biological applications and food contacting materials in packaging. The technology can be used to tailor-make novel PC materials with specific properties, and could fulfill the critical safety criteria required in biomedical and consumer products applications. The materials could have broader applications such as mechanically strong and durable

Fig. 8 Representative immunostaining to examine cell viability after seeding on PC (PC-D2) prepared from DTMPC (0.33 mmol) by 1.7 µmol DBU and 35 µmol 1,3-PDO at 130 °C. (A) and (B) for day 1, (C) and (D) for day 4, (E) and (F) for day 7. (A), (C) and (E) for live cells, and (B), (D) and (F) for dead cells are shown. (G) Cells seeded on all 3 PCs have more than 75% and 65% cell viability after 3 days and 7 days’ post seeding, respectively. Scale bar = 200 µm.
medical implants, tissue engineering scaffolds, micro-fluidic devices, diagnostic probes, lab-on-a-chip systems, and customized cell culture devices.

Conflicts of interest

There are no conflicts to declare.

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Notes and references


